

COPY OF PAPERS
ORIGINALLY FILED

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



APPLICANT(S): Sadhana S. Rau, et al.

ART UNIT: 2152

SERIAL NO.: 09/899,555

EXAMINER:

FILED: July 5, 2001

TITLE: METHOD FOR PROPAGATING TEAMWARE TRANSACTIONS

Assistant Commissioner for Patents
Washington, DC 20231

RECEIVED

MAY 14 2002

Technology Center 2100

INFORMATION DISCLOSURE STATEMENT

Pursuant to their duty of good faith and candor as set forth in 37 CFR 1.56(a), Applicants request that the reference(s) cited on attached form PTO-1449 (copies of which are enclosed) be considered by the Examiner in connection with the search of the prior art required by 37 CFR 1.104. This Statement is being filed under one of the following provisions of § 1.97:

[X] This Statement is being filed under 37 CFR 1.97(b) prior to the latest of: (1) three months from the filing date of this Application; (2) three months after entry of the national stage in the case of an international application; (3) the mailing date of a first Office Action on the merits; or (4) before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

[] This Statement is being filed under 37 CFR 1.97(c) after the period specified in § 1.97(b) but prior to the earlier of: (1) a final Office Action under § 1.113; (2) a Notice of Allowance under § 1.113; or (3) an action that otherwise closes prosecution in the application. This Statement is accompanied by either:

- [] the fee set forth in § 1.17(p); or
- [] the statement specified in § 1.97(e).

[] This Statement is being filed under 37 CFR 1.97(d) after the period specified in § 1.97(c) but prior to or with payment of the issue fee. This Statement is accompanied by:

- (1) the statement specified in § 1.97(e); and
- (2) the fee set forth in § 1.17(p).

2152
#5
RWB
6-28-02



COPY OF PAPERS
ORIGINALLY FILED

U.S. PATENT APPLICATION NO. 09/899,555
ATTORNEY DOCKET NO.: 16159.010001; P5909

Applicants believe no petition is necessary; however, if this belief is incorrect, please consider this paper as such.

Applicants believe there is no fee due for the filing of this paper. If, however, Applicants are error regarding the fee, the Commissioner is hereby authorized to charge Deposit Account 50-0591 of Rosenthal & Osha L.L.P. under Order No. 16159.010001 for any fees and/or credits during the entire pendency of this application.

Respectfully submitted,

RECEIVED

MAY 14 2002

Date: 4/30/02


Jonathan P. Osha, Reg. No. 33,986

Technology Center 2100

ROSENTHAL & OSHA L.L.P.
One Houston Center, Suite 2800
1221 McKinney Street
Houston, Texas 77010

Telephone No.: (713) 228-8600
Facsimile No.: (713) 228-8778

CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)			Docket No.
Applicant(s): Sadhana S. Rau, et al.			16159.010001; P5909
Serial No.	Filing Date	Examiner	Group Art Unit
09/899,555	July 5, 2001		2152

Invention:

METHOD FOR PROPAGATING TEAMWARE TRANSACTIONS

RECEIVED

MAY 14 2002

Technology Center 2100

I hereby certify that this Information Disclosure Statement along with the required papers
(Identify type of correspondence)

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

The Assistant Commissioner for Patents, Washington, D.C. 20231 on April 30, 2002
(Date)

Grace A. Wise

(Typed or Printed Name of Person Mailing Correspondence)

(Signature of Person Mailing Correspondence)

Note: Each paper must have its own certificate of mailing.

SUBSTITUTE FOR
FORM 1449
(08-00)

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Complete if Known

Application Number	09/899,555
Filing Date	July 5, 2001
First Named Inventor	Sadhana S. Rau, et al.
Group Art Unit	2152
Examiner Name	
Attorney Docket Number	16159.010001; P5909

Sheet

1

**COPY OF PAPERS
ORIGINALLY FILED**

U.S. PATENT DOCUMENTS

U.S. Patent Document					
Examiner initials*	Cite No.	Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
	A1	5,928,323		Gosling, et al.	07/27/99

RECEIVED

MAY 14 2002

Technology Center 2100

FOREIGN PATENT DOCUMENTS

Foreign Patent Document						
Examiner initials*	Cite No.	Office	Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY

OTHER DOCUMENTS

Examiner initials*	Cite No.	References	T**
	A2	CHARLIE LAI, LI GONG, LARRY KOVED, ANTHONY NADALIN, ROLAND SCHEMERS; <i>User Authentication and Authorization in the Java Platform</i> ; Article; December 1999; 15 th Annual Computer Security Applications Conference, Phoenix, AZ	

Examiner
Signature

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

** Applicant is to place a check mark here if English language is present or an English Translation or Abstract is attached.